

Health, Safety and Security Through COMPLIANCE

At Stream Data Centers, we design facilities and train our teams to comply with rigorous standards set by trade groups and certifying organizations, maintaining relevant certifications and attestations. Our facilities management and operations team is dedicated to maintaining compliance certifications that are critical to our mission-critical data center customers.

Through disciplined assessment and audit processes, Stream has implemented comprehensive practices and attained certification for: ISO/IEC 27001, SOC 2, SOC 1 and PCI DSS.

Our team ensures compliance through:

- **Audit readiness** — Others in the industry may relax stringent attention to compliance until the last quarter, then tighten control to prepare for end-of-year audits. Stream's philosophy is that we should be audit-ready every day.
- **Self-auditing** — We take compliance seriously, through our Internal Audit Program, continually self-audit in the areas of health and safety, security and availability and processes and procedures. Managers frequently conduct informal audits of different areas, like safety and efficiency, to see how processes are working. Conscious self-auditing reinforces our commitment to compliance in the minds of our team.
- **Transparency** — Our partners are always welcome to visit our sites to meet the team and ask questions. Often, a visiting partner will choose a topic from our Procedures to review with the on-site team. Stream operates with trust and integrity on every level.
- **Financial accountability** — Stream's commitment to compliance, process management and teamwork brings value to customers and supports profitability. We believe financial success is a byproduct of having the right team doing the right job the right way. And our profits go toward improvement, innovation, and strengthening the team as needed.





ISO 27001:2013

ISO/IEC 27001 (typically referred to as ISO 27001) is a rigorous security standard that formally specifies an Information Security Management System (ISMS) that is intended to bring information security under explicit management control. As a formal specification, it mandates requirements that define how to implement, monitor, maintain, and continually improve the ISMS. It also prescribes a set of best practices that include documentation requirements, divisions of responsibility, availability, access control, security, auditing, and corrective and preventive measures. Certification to ISO 27001 helps organizations comply with numerous regulatory and legal requirements that relate to the security of information.

The ISO 27001 designation is regarded globally as the gold standard in managing information security and illustrates Stream Data Centers discipline and commitment to internal processes and procedures in providing services to our customers.

The scope of our data center operations and facility management services include physical security, incident management, compliance and customer care. Our ISMS, at a minimum, includes "all" ISO 27001 recommended controls (except for one related to software development, since Stream Data Centers does not perform this function) necessary to meet and build upon our management and service excellence metrics illustrated through these services.



Payment Card Industry Data Security Standard (PCI DSS)

The Payment Card Industry Data Security Standard (PCI DSS) was created to meet the rising threat regarding payment card information. Compliance with PCI DSS is mandatory for any company that accepts a credit card number for processing transactions, transmitting cardholder and cardnumber/security information, or receiving authorizing or settlement information via electronic information systems.

Stream Data Centers provides physical security access to customer equipment through a combination of management systems and physical access safeguards and procedures. Stream Data Centers does not monitor or have access to customer data, so applicability is only to the physical security operations and management processes, which are incorporated into the above ISO 27001:2013 ISMS management framework, that govern physical security.



Statement on Standards for Attestation Engagements (SSAE) are attestation standards put forth by the Auditing Standards Board (ASB) of the American Institute of Certified Public Accountants (AICPA).

SOC 1 (SSAE 18) TYPE II

Stream Data Centers demonstrates compliance with AICPA's financial controls for its customers by way of an independent SOC 1 Type 2 annual audit. The SOC 1 Type II audit assesses the effectiveness of business process controls, physical access controls and logical access controls related to the property management administrative services performed for Stream Data Centers clients.

SOC 2 (SSAE 18) TYPE II

Stream Data Centers demonstrates compliance with AICPA's Trust Services Principles of Security and Availability for its customers by way of an independent SOC 2 Type 2 annual audit. This report is intended to be relied upon by the compliance auditors of Stream Data Centers customers. The SOC 2 Type II audit assesses the security (physical and logical), availability, processing integrity, confidentiality and privacy aspects of the overall management system implemented by Stream Data Centers. Assessing these controls and the effectiveness of the management system demonstrates Stream Data Centers' commitment to their customers.

